# Typological studies with passive/antipassive as an example

Irina Burukina

irine-bu@caesar.elte.hu

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#### This lecture is about

- 1. Typology
  - What is linguistic typology
- 2. Examples of linguistic typologies
  - Word order typology
  - Morphological typology
  - Morphosyntactic typology: Nominative vs. ergative languages
- 3. Voices from a typological perspective
  - Voice
  - Passive
  - Antipassive

What is linguistic typology

#### References

- The Oxford Handbook of Linguistic Typology. 2010. edited by Jae Jung Song
- Pereltsvaig, Asya. 2012. Languages of the World. An Introduction.
- Croft, William. 1990, 2003. Typology and Universals.
- Nichols, Johanna. 1992. Linguistic Diversity in Space and Time.
- World Atlas of Language Structures (WALS) https://wals.info/

# Linguistic typology

#### Comparative study of human languages:

- Comparing languages with each other with respect to a given linguistic phenomenon.
- Classifying observed crosslinguistic variation into types.
- Formulating generalizations over the distribution of linguistic patterns across the languages of the world and their relationship to other patterns.
  - universals and parameters

# Greenberg's universals

#### Greenberg (1963):

- What is possible/impossible in human language?
- Why?

#### Examples of Greenberg's universals:

- All languages with dominant VSO order have SVO as an alternative or as the only alternative basic order.
- With overwhelmingly more than chance frequency, languages with dominant order VSO have the adjective after the noun.
- If a language is exclusively suffixing, it is postpositional; if it is exclusively
  prefixing, it is prepositional.
- Whenever the verb agrees with a nominal subject or nominal object in gender, it also agrees in number.

 $More: \ https://www.linguisticsociety.org/sites/default/files/e-learning/Greenberg$ 

# Linguistic Typology

What you compare and how:

- $\leftarrow$  Data samples are important
  - Different language families,
  - Different regions.

Word order typology

# Word order typology

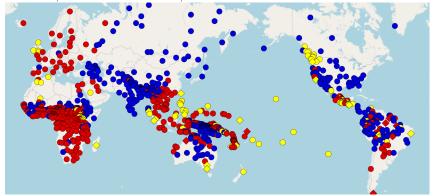
← The ordering of subject, object, and verb in a transitive clause, more specifically declarative clauses in which both the subject and object involve a noun (and not just a pronoun).

(1) [The dog] chased [the cat]. S(ubject) V(erb) O(bject) – SVO

#### WALS: 1376 languages

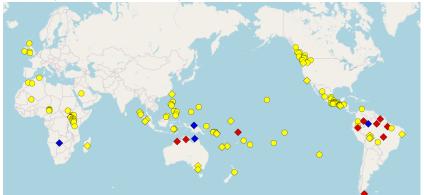
- Subject-object-verb (SOV) 564
- Subject-verb-object (SVO) 488
- Verb-subject-object (VSO) 95
- Verb-object-subject (VOS) 25
- Object-verb-subject (OVS) 11
- Object-subject-verb (OSV) 4
- Lacking a dominant word order 189

WALS: SOV – blue circle, SVO – red circle, VSO – yellow circle, VOS – yellow diamond, OVS – red diamond, OSV – blue diamond.



Link: https://wals.info/feature/81A#2/18.0/153.1

WALS: without SVO and SOV languages.VSO – yellow circle, VOS – yellow diamond, OVS – red diamond, OSV – blue diamond.



Link: https://wals.info/feature/81A#2/18.0/153.1

Examples from https://wals.info/chapter/81 Japanese: SOV

(2) John ga tegami o yon-da. John subj letter obj read-pst 'John read the letter.'

Irish: VSO

(3) Léann [na sagairt] [na leabhair]. read.pres the.pl priest.pl the.pl book.pl 'The priests are reading the books.'

Examples from https://wals.info/chapter/81 Nias (Austronesian; Sumatra, Indonesia): VOS

(4) i-rino vakhe ina-gu 3sg.realis-cook abs.rice mother-1sg.poss 'My mother cooked rice.'

#### Hixkaryana (Carib; Brazil): OVS

(5) toto y-ahos-ye kamara man 3:3-grab-distant.pst jaguar 'The jaguar grabbed the man.'  ${\bf Morphological\ typology}$ 

- $\leftarrow$  whether or not affixation is allowed and degrees of morphological complexity (How many morphemes are in one word?)
- $\rightarrow$  index of synthesis
- ! Sometimes it is very difficult to determine whether an item is a word or a morpheme !

#### Languages:

- isolating ('purely analytic'): frequently have tonal systems, serial verbs, fixed word order, etc.
- analytic (some morphemes and compounding is allowed)
- synthetic: (lots of) bound morphemes
  - agglutinative: morphemes within words are easily parsed or "loosely" arranged; the morpheme boundaries are easy to identify. 1-to-many word to morpheme ratio; 1-to-1 morpheme to meaning;
  - fusional: morphemes that combine multiple pieces of grammatical information;
- polysynthetic: frequent incorporation, no overt arguments, etc.

• Synthetic languages

#### Agglutinative languages

(6) Nënë mëlänem <br/> êškal-vlä-štë-m anžêktenët. Hill Mari (Uralic) they I.dat cow-pl-poss.3<br/>pl-acc showed <br/> 'They showed me their cows.'

#### Fusional languages

- (7) Latin
  - a. Marcus ferit Cornēliam.
     Marcus hits Cornelia.
  - b. Cornēlia dedit Marcō dōnum/dōna.
     Cornelia has given Marcus a gift/gifts.

• Polysynthetic languages

West Greenlandic:

tusaa-nngit-su-usaar-tuaannar-sinnaa-nngi-vip-putit

'hear'-neg.-intrans.participle-'pretend'-'all the time'-'can'-neg.-'really'-2<sup>nd</sup>.sng.indicative

'You simply cannot pretend not to be hearing all the time'

# Morphosyntactic typology

Nominative vs. ergative languages

#### Nominative vs. Ergative

If there are two nominal phrases in a clause, it would be good to indicate which one is the subject (structurally higher, more prominent) and which one is the object (structurally lower, less prominent)  $\rightarrow$  We can mark one of them (and leave the second one unmarked, default, for instance).

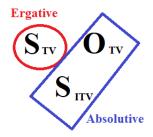
First option – to mark the Object (i.e. the structurally lower NP).

 $\leftarrow$  Nominative-Accusative languages



#### Nominative vs. Ergative

Second option – to mark the Subject (i.e. the structurally higher NP). ← Ergative-Absolutive languages



#### Ergative languages

Hunzib (Nakh-Daghestanian; eastern Caucasus):

- (8) a. kid y-ut'-ur. girl cl2-sleep-pst 'The girl slept.'
  - b. oždi-l kid hehe-r.boy-erg girl hit-pst'The boy hit the girl.'

Source for case: ergative – inherent, by v; absolutive – structural, by T or v. [Aldridge 2004; Legate 2008]

#### Nominative vs. Ergative

Note: We can mark the nominals themselves (Case marking alignment) or we can add special agreement markers to the main verb that correspond to subject / object (verbal person marking alignment).

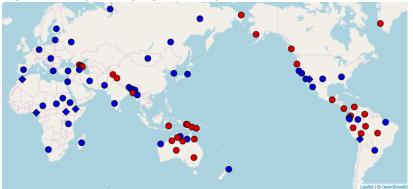
Kaqchikel (Mayan, spoken in Guatemala) – ergative alignment on the verb.

- (9) a. (Röj) y-at-q-oyoj (rat)
  1PL ICMP-ABS.2SG-ERG.1PL-call
  'We call you.'
  b. (Rat) y-oj-aw-oyoj (röj)
  2SG ICMP-ABS.1PL-ERG.2SG-call 1PL
  'You call us.'
- (10) y-oj-ok ICMP-ABS.1PL-enter 'We enter.'

(11) y-at-ok
ICMP-ABS.2SG-enter
'You enter.'

### Nominative vs. Ergative

Case marking alignment – nominative (blue, 52) vs. ergative (red, 32) – according to WALS (190 languages in total).



Link: https://wals.info/feature/98A#2/25.5/148.9

### Comparing a phenomenon in different languages:

Passive/antipassive Voice

#### Voice

Originally, Voice – particular alternations in the assignments of grammatical functions to the verb's arguments.

Voice (1) – change in the grammatical functions of the arguments.

Voice (2) – valence alternation (decrease or increase of the number of arguments; see Levin and Rappaport 1995, Haspelmath and Müller-Bardey 2005, Reinhart and Siloni 2005, a.o.)

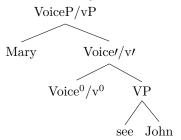
#### Voice

In English: active Voice vs. passive Voice

- (12) a. Mary wrote this book.
  - $\leftarrow$  active
  - b. This book was written by Mary.
    - $\leftarrow$  passive

#### Voice

VoiceP (Kratzer 1996) / vP (Chomsky 1995; Marantz 1997) in the structure  $\rightarrow$  Often interpreted as identical; a single projection for verbalizing and introducing the external argument.



Harley (2005): vP verbalizes, VoiceP introduces the external argument.

# What can we do with arguments?

- Reduce the number of arguments:
  - Demotion of arguments passive, antipassive
  - Deletion of arguments middle, antipassive
- Increase the number of arguments causative, applicative

# Demotion of arguments

Passive

#### Passive

Passive - (1) the external argument is demoted, (2) an internal argument is promoted.

- (13) a. Mary wrote this book.
  - b. This book was written (by Mary).

#### Passive

#### The external argument is not deleted completely!

- (14) a. This book was written (by Mary).
  - b. This book was written to impress everyone.
  - c. This book was written drunk.
- (15) a. The ship was sunk with a torpedo.
  - b. \*The ship sank with a torpedo.

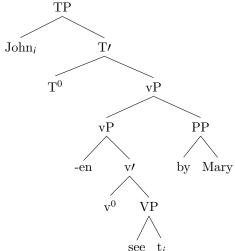
#### **Passive**

#### Any thematic role of the external argument:

- (16) a. The porcupine cage was welded by Elmer. (agent)
  - b. Elmer was moved by the porcupine's reaction. (cause)
  - c. The porcupine crate was received by Elmer's firm. (goal/recipient)
  - d. Elmer was seen by everyone who entered. (experiencer)

### Passive: (a) structural representation

Jaeggli (1986), Baker (1988), Baker, Johnson, Roberts (1989):



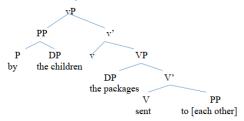
Problems: incorporation of an external argument, theta-criterion (Bruening (2013) for an update).

### Passive: (a) structural representation

Problems with the external argument being an adjunct (Collins 2018) – binding:

- (17) a. The packages were sent by the children to themselves.
  - b. \*The packages were sent for the children to themselves.

#### Collins 2018, structure:



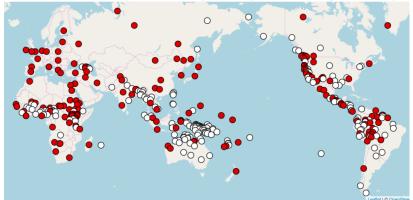
## Passive in ergative languages

Passive in ergative languages – Labrador Inuit (Smith 1982):

- (18) a. Anguti-up annak taku-janga.
  man-erg woman.abs see-3sg.subj:3sg.obj.prs
  'The man sees the woman.'
  - b. Annak (anguti-mut) taku-jau-juk. woman.abs man-dat see-pass-3subj.prs 'The woman is seen (by the man).'

# Passive in the world's languages

WALS: 373 languages, + passive (red, 162), no passive (white, 211)



Link: https://wals.info/feature/107A#2/16.6/148.9

### Passive: puzzles

### Impersonal Passive – German (Steinbach 2002):

(19) Es wird hier getanzt.it aux here danced'People are dancing here.'Literally: 'There is dancing here.'

### Passive: puzzles

In some languages it is possible to passivize intransitive verbs (Bolinger 1977, Bresnan 1982, Alsina 2009).

- (20) a. The bed was slept in by George Washington.
  - b. George Washington slept in the bed.
  - c. The bed has been thoroughly rolled around on.
  - d. Someone has rolled around on the bed.

# Demotion/deletion of arguments

Antipassive

Antipassive – an internal argument is demoted. See Polinsky (2017) for an overview.

Conative constructions in English:

- (21) a. He ate the meat.
  - b. He shot the bear (#but he missed)
  - c. He ate at the meat.
  - d. He shot at the bear (but he missed)

Antipassive in other nominative languages – Russian:

- (22) a. Mal'čik brosal kamni. boy.nom threw stones.acc 'The boy threw stones.'
  - b. Mal'čik brosal-sja kamnjami.
     boy.nom threw-SJA stones.inst
     Literally: 'The boy threw with stones.'

Antipassive is better recognized in ergative languages.

An antipassivized predicate becomes intransitive  $\rightarrow$  the ERG marker often disappears.

#### Active:

External argument = Subject, ERG

Internal argument = Object, ABS

### Antipassive I:

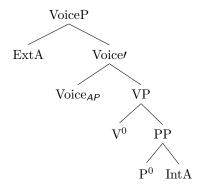
External argument = Subject, ABS

Internal argument = case-less bare nominal phrase or an oblique phrase.

### Antipassive in Kaqchikel (Mayan):

- (23) a. Ri alaboni x-Ø-ki-tïk ri ütz ixim. ← Active det man.pl cmp-abs.3sg-erg.3pl-plant det good corn 'The men planted good corn.'
  - b. Ri alaboni x-e-tik-on (ixim). det man.pl cmp-abs.3pl-plant-ap corn 'The men planted (corn).'
  - c. Röj x-e-qa-tz'ët ri oxi tz'i. we cmp-abs.3pl-erg.1pl-see det three dog We saw three dogs.'
  - d. Röj x-oj-tz'et-on r-chin ri oxi tz'i. we cmp-abs.1pl-see-ap gen.3sg-of det three dog 'We saw three dogs.'
    Literally: 'We saw at three dogs.'

# Antipassive I: (a) structural representation



In some languages (for instance, Inuit (Eskimo-Aleut)), demotion of an internal argument is connected to Aktionsart.

#### Active:

External argument = Subject, ERG Internal argument = Object, ABS

Aktionsart (for affecting verbs): Telic

#### Antipassive II:

External argument = Subject,  $\overline{ERG}$ 

 $Internal\ argument = an\ oblique\ phrase.$ 

Aktionsart (for affecting verbs): Atelic

Warlpiri (Pama-Nyungan; Australia) (Polinsky 2017):

- (24) a. njuntu-lu npa-tju pantu-nu ngatju.
  2sg-erg 2sg-1sg spear-pst 1sg.abs
  'you speared me'
  successfully; complete event with a result
  - b. njuntu-lu npa-tju-la pantu-nu ngatju-ku
     2sg-erg 2sg-1sg-ap spear-pst 1sg-dat
     'you speared at me'
     you tried; incomplete event without a result

This is somewhat similar to English! Recall that in Kaqchikel there was no correlation between antipassive and aktionsart.

#### Active:

External argument (active) = Subject, ERG Internal argument (passive) = Object, ABS

### Antipassive III:

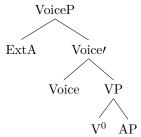
External argument = Subject, ABS Internal argument = deleted.

- a. ?ətt-e melota-lyən piri-nin. Chukchi
  dog-ERG hare-ABS catch-AOR.3SG:3SG

  'The dog caught a/the hare.' (Kurebito 2012: 184)
- b. ?ətt-ən ine-piri-y?i dog-ABS AP-catch-AOR.3SG 'The dog caught a/the hare.'
- c. ?ətt-ən milute-piri-γ?i.
   dog-ABS hare-catch-AOR.3SG
   'The dog caught a/the hare.'
- d. \*?ətt-ən ine-milute-piri-γ?i.dog-ABS AP-hare-catch-AOR.3SG

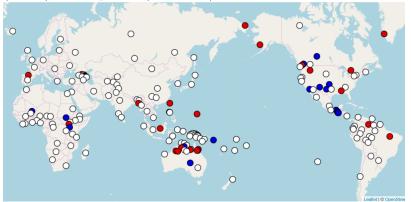
## Antipassive III: (a) structural representation

The traditional analysis: antipassive (AP) morpheme as an incorporated object (back to Baker (1988)).



# Antipassive in the world's languages

WALS: 146 languages, + antipassive implicit (blue, 18), + antipassive oblique (ref, 30), no antipassive (white, 146).



Link: https://wals.info/feature/108A#2/23.2/148.5

## Recommended readings

#### Recommended readings to better understand the material:

- Nominative vs. Ergative languages: WALS chapter by Bernard Comrie https://wals.info/chapter/98
- Passive: Basic English Syntax with Exercises by Mark Newson et al., Chapter 5 freely available online, google it
- Passive: WALS chapter Passive by Anna Siewierska https://wals.info/chapter/107
- Antipassive: Maria Polinsky. Antipassive. In: Handbook of ergativity available online at https://scholar.harvard.edu/mpolinsky/publications/antipassive
- Antipassive: WALS chapter Antipassive by Maria Polinsky https://wals.info/chapter/108